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Application Number	10/814,609
Filing Date	March 29, 2004
First Named Inventor	Luke P. Lee
Title	METHOD AND APPARATUS FOR NANOGAP
Art Unit	. 1636
Examiner Name	Unassigned
Attorney Docket Number	313S-300610US

		Attorney Boo			3138-30001008
I hereby appoint:					
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X Practitioner(s) named belo	ow:	PATENT TRADE	ARK OFFICE		•
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Country	United States			· · · · · · · · · · · · · · · · · · ·	
Telephone	(510) 337-7871		Fax (5	10) 337-7877	
	ne entire interest. See 37 CFR 3.7 R 3.73(b) is enclosed. (Form PTC				·
	SIGNATURE of App	olicant or Ass	ignee of R	ecord	
Name Carol Mimura	, Ph.D.				
Signature Canala					
Data 4/.	84			Telephone	(510) 643-7201
NOTE: Signatures of all the inventors forms if more than one signature is re		terest or their re	presentative	(s) are required. S	Submit multiple
*Total of fo	orms are submitted.				
	CERTIFIC	ATE OF M	AILING		

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Amelia Weintraulo		
Signature	Al Waintrauto	Date	sept. 24, 2004





Approved for use through 09/30/02000. OMB 0651-0031 STATEMENT UNDER 37 CFR 3.73(b)

Арр	olicant:	Luke	P. Lee, et a	l			
App	lication N	o.: 10	/814,609			Filed:	March 29, 2004
Enti	itled: MI	ETHOD	AND APPA	RATUS F	OR NANOG	AP DEVIC	E AND ARRAY
	California		Jniversity			corpo	
		e of Assignee		(Тур	e of Assignee, e.g., co	orporation, partne	ership, university, government agency, etc.)
states	that it is:						
1. <u>×</u>	the a	ssignee	of the entir	e right, title	e, and interes	t; or	
2.	an a	ssignee	of an undiv	ided part ir	nterest		
in the p	oatent app	lication	dentified ab	ove by vir	tue of either:		
A. [X] OR	assignm	ent was		the Paten			dentified above. The at Reel, or for
B. []			om the inve as shown b		the patent ap	plication id	lentified above, to the
1.		ument w			To: tent and Trad ch a copy the		
2.					To: tent and Trad ch a copy the		
3.	From:				To:		
	The docu	ument w			tent and Trad		
[]	Additiona	al docum	nents in the	chain of tit	tle are listed o	n a supple	emental sheet
[X]Co	pies of as	signmer	its or other	documents	s in the chain	of title are	attached.
The un		(whose	title is supp	olied below	r) is empower	ed to sign	this statement on behalf of
	=	7/12/	•4 :	•	am	Signatu	
	-	Date	9			Signatu	re
					Carol Mi	nura, Ph	1.D.
					Typ Director <u>Licensin</u>		ed name of Technology
					TTCCHOTH.	Title	



NOT FOR RECORDATION

ASSIGNMENT

U.C. Case No. <u>B02-086-2</u> Attorney Docket No. 313S-300610US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Luke P. Lee

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

and which is found in (a) U.S. provisional application filed herewith and listing the above named persons as inventors (b) U.S. patent application filed herewith and listing the above named persons as inventors (c) U.S. application serial no. 10/814,609, filed on March 29, 2004 (d) U.S. Patent No.: , issued and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.
(a) U.S. provisional application filed herewith and listing the above named persons as inventors (b) U.S. patent application filed herewith and listing the above named persons as inventors (c) U.S. application serial no. 10/814,609, filed on March 29, 2004 (d) U.S. Patent No.:, issued and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial authorized and requested by the execution of this assignment to insert into this assignment.
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AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.
executed this Signature of Inventor(s)
day of July , 2004 Juke P. Lee